

Momentum Collective Investments (RF) (Pty) Ltd Dividend withholding tax: declaration exemption DTD(EX)

Investment number P P

- You may not change any part of this form or its terms. If you correct any information you have completed, please sign next to it.
- According to the Financial Intelligence Centre Act (FICA), we must identify you, and verify information if it is new or different to what we have on our records. This means we can ask for additional information and documents.

1: Investor

Type of investor	Individual <input type="checkbox"/>	Listed company <input type="checkbox"/>	Unlisted company <input type="checkbox"/>	Retirement fund <input type="checkbox"/>
	RSA government, provincial administration, municipalities			Trust <input type="checkbox"/>
	Other (please provide a detailed explanation) <input type="text"/>			
Title	<input type="text"/>	Initials <input type="text"/>	Full names <input type="text"/>	<input type="text"/>
Surname or name of legal entity	<input type="text"/>			
Identity or registration number*	<input type="text"/>	*Please attach proof of identity or registration documents.		
Passport number (non-RSA residents only)*	<input type="text"/>	Passport expiry date	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - 2 0 <input type="text"/> <input type="text"/>	<input type="text"/>
Passport – country of issue	Country code <input type="text"/>	Please use the country code list for the correct country code.		
Are you a RSA tax resident?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If yes, complete your SARS tax reference no.	<input type="text"/>

2: Reason for exemption

Please indicate the reason(s) the investor is exempt from dividend withholding tax in terms of section 64F, 64H(2)(b) or 64H(3)(b)(i) of the South African Income Tax Act no. 58 of 1962:

s. 64F(1)(a) - a company that is resident in South Africa.	SARS exemption code	A	<input type="checkbox"/>
s. 64F(1)(b) - the Government of the Republic of South Africa in the national, provincial or local sphere.	SARS exemption code	B	<input type="checkbox"/>
s. 64F(1)(c) - a public benefit organisation (approved by SARS in terms of section 30(3) of the Act).	SARS exemption code	C	<input type="checkbox"/>
s. 64F(1)(d) - a trust as per section 37A of the Act (mining rehabilitation trusts).	SARS exemption code	D	<input type="checkbox"/>
s. 64F(1)(e) - an institution, body, or board as per section 10(1)(cA) of the Act.	SARS exemption code	E	<input type="checkbox"/>
s. 64F(1)(f) - a fund as per section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund).	SARS exemption code	F	<input type="checkbox"/>
s. 64F(1)(g) - a person as per section 10(1)(t) of the Act (CSIR, SANRAL, etc.).	SARS exemption code	G	<input type="checkbox"/>
s. 64F(1)(h) - a shareholder in a registered micro-business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered micro-business to its shareholders during the year of assessment in which that dividend is paid, does not exceed R200 000.	SARS exemption code	H	<input type="checkbox"/>
s. 64F(1)(i) - a small business funding entity as per section 10(1)(cQ).	SARS exemption code	I	<input type="checkbox"/>
s. 64F(1)(j) - a person that is not a resident and the dividend is a dividend as per paragraph (b) of the definition of "dividend" in section 64D (i.e. a dividend on a foreign company's shares listed in SA, such as dual-listed shares).	SARS exemption code	J	<input type="checkbox"/>
s. 64F(1)(k) - a portfolio of a collective investment scheme in securities.	SARS exemption code	K	<input type="checkbox"/>
s. 64F(1)(l) - any person to the extent that the dividend constitutes income of that person. Note: If the investor is a tax resident in South Africa, the investor qualifies for this exemption on certain dividends (e.g. real estate investment trust dividends) and must select it.	SARS exemption code	L	<input type="checkbox"/>

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 MMI Holdings Limited is a full member of the Association for Savings and Investment South Africa
 Please refer to our website for directors and company secretary details.

2: Reason for exemption (continued)

s. 64F(1)(m) - any person to the extent that the dividend was subject to the secondary tax on companies.	SARS exemption code	M	<input type="checkbox"/>
s. 64F(1)(n)- any fidelity or indemnity fund as per section 10(1)(d)(iii).	SARS exemption code	N	<input type="checkbox"/>
s. 64F(2) - any dividend paid by a REIT or a controlled property company, as defined in section 25BB, and received or accrued before 1 January 2014 is exempt from the Dividend Withholding Tax to the extent that the dividend does not consist of a dividend <i>in specie</i> .	SARS exemption code	W	<input type="checkbox"/>
Other - Note: All recipients with an exemption code of X will automatically be routed for an audit.	SARS exemption code	X	<input type="checkbox"/>
s. 64H(3)(b)(i) - double taxation agreement.	SARS exemption code	Y	<input type="checkbox"/>
Other international agreement (agreement documentation must be attached).	SARS exemption code	Z	<input type="checkbox"/>
s. 64H(2)(b) - distribution to other regulated intermediary.	SARS exemption code	PT	<input type="checkbox"/>

3: Declaration and undertaking

I,

(full name of investor or authorised signatory), declare that dividends paid from South African companies to the investor, is exempt from dividend withholding tax, in terms of section 64F, 64H(2)(b) or 64H(3)(b)(i) of the South African Income Tax Act no. 58 of 1962.

I undertake to inform Momentum Collective Investments (RF) (Pty) Ltd in writing should the circumstances of the investor change.

Capacity of signatory
(if not the beneficial owner)

Authorised signature

Date - -